

# TOPOLOGICAL STABLE RANK OF BANACH ALGEBRAS

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*On the topological stable rank of non-selfadjoint operator algebras*  
Math. Annalen **341** (2008), 239–253.

K.R. DAVIDSON AND Y.Q. JI

*Topological stable rank of nest algebras*  
preprint, 2008 on arXiv and [www.math.uwaterloo.ca/~krdavids/](http://www.math.uwaterloo.ca/~krdavids/).

## DEFINITION (COVERING DIMENSION)

$X$  compact Hausdorff space.

$\dim X = n$ : least integer  $n \geq 0$  so that every finite open cover has refinement so no point is covered more than  $n + 1$  times.

## EXAMPLE

$$\dim[0, 1]^n = n.$$

## THEOREM: TFAE

- $\dim X \leq n$ .
- $C(X, \mathbb{R}^{n+1} \setminus \{0\})$  is dense in  $C(X, \mathbb{R}^{n+1})$ .
- $\forall \varepsilon > 0 \forall f_1, \dots, f_{n+1} \in C(X, \mathbb{R})$ , there are  $g_1, \dots, g_{n+1} \in C(X, \mathbb{R})$  with  $\|f_i - g_i\| < \varepsilon$  so that the ideal  $\langle g_1, \dots, g_{n+1} \rangle = C(X, \mathbb{R})$ .

## DEFINITION (RIEFFEL)

unital Banach algebra  $\mathfrak{A}$

$$Rg_n(\mathfrak{A}) = \{(a_1, \dots, a_n) : \sum_{i=1}^n a_i \mathfrak{A} = \mathfrak{A}\}.$$

The right topological stable rank of  $\mathfrak{A}$  is least integer  $n = \text{rtsr}(\mathfrak{A})$  such that  $Rg_n(\mathfrak{A})$  is dense in  $\mathfrak{A}^n$ .

(i.e. right invertible  $1 \times n$  matrices dense in  $\mathcal{R}_n(\mathfrak{A})$ .)

So  $\text{rtsr}(C_{\mathbb{R}}(X)) = \dim X + 1$  over the reals. But working over  $\mathbb{C}$ ,

## PROPOSITION

$$\text{rtsr}(C(X)) = \left\lfloor \frac{\dim X}{2} \right\rfloor + 1.$$

## PROPOSITION

If  $\mathfrak{A}$  is a  $B^*$ -algebra, then

$$\text{rtsr}(\mathfrak{A}) = \text{ltsr}(\mathfrak{A}) =: \text{tsr}(\mathfrak{A}).$$

## DEFINITION (BASS)

unital ring  $A$

$$Lg_n(A) = \{(a_1, \dots, a_n) : \sum_{i=1}^n Aa_i = A\}.$$

The left Bass stable rank of  $A$  is least integer  $n = \text{lBsr}(A)$  such that if  $(a_i)_{i=1}^{n+1} \in Lg_{n+1}(A)$ , then  $\exists b_i \in A$  so that  $(a_1 + b_1 a_{n+1}, \dots, a_n + b_n a_{n+1}) \in Lg_n(A)$ .

## THEOREM (VASERSTEIN)

For any unital ring  $A$ ,

$$\text{lBsr}(A) = \text{rBsr}(A) =: \text{Bsr}(A).$$

## QUESTION (RIEFFEL)

Is  $\text{rtsr}(\mathfrak{A}) = \text{ltsr}(\mathfrak{A})$  for all Banach algebras?

## PROPOSITION (RIEFFEL)

$$|\text{Bsr}(\mathfrak{A})| \leq \text{rtsr}(\mathfrak{A}).$$

So

## COROLLARY

$$\text{Bsr}(\mathfrak{A}) \leq \min\{\text{rtsr}(\mathfrak{A}), \text{ltsr}(\mathfrak{A})\}.$$

## THEOREM (HERMAN–VASERSTEIN)

If  $\mathfrak{A}$  is a  $C^*$ -algebra, then  $\text{tsr}(\mathfrak{A}) = \text{Bsr}(\mathfrak{A})$ .

However

## THEOREM (JONES–MARSHALL–WOLFF)

$$\text{Bsr}(\mathcal{A}(\mathbb{D})) = 1 < 2 = \text{tsr}(\mathcal{A}(\mathbb{D})).$$

## THEOREM (RIEFFEL): TFAE

- 1  $\text{rtsr}(\mathfrak{A}) = 1$
- 2  $\text{ltsr}(\mathfrak{A}) = 1$
- 3  $\mathfrak{A}^{-1}$  is dense in  $\mathfrak{A}$ .

## PROPOSITION

If  $\mathfrak{A} \subset \mathcal{B}(\mathcal{H})$  contains *isometries*  $u, v$  with  $\text{Ran}(u) \perp \text{Ran}(v)$ , then  $\text{rtsr}(\mathfrak{A}) = \infty$ .

## COROLLARY

$$\text{tsr}(\mathcal{B}(\mathcal{H})) = \infty.$$

## PROPOSITION (RIEFFEL)

If  $\mathfrak{J} \triangleleft \mathfrak{A}$ , then  $\text{rtsr}(\mathfrak{A}/\mathfrak{J}) \leq \text{rtsr}(\mathfrak{A})$ .

## THEOREM (RIEFFEL)

If  $\mathfrak{J} \triangleleft \mathfrak{A}$  and  $\mathfrak{J}$  has a b.a.p., then  $\text{rtsr}(\mathfrak{J}) \leq \text{rtsr}(\mathfrak{A})$ .

## THEOREM (RIEFFEL)

If  $\mathfrak{J} \triangleleft \mathfrak{A}$ , then  $\text{rtsr}(\mathfrak{A}) \leq \max\{\text{rtsr}(\mathfrak{J}), \text{rtsr}(\mathfrak{A}/\mathfrak{J}) + 1\}$ .

Unfortunately, in n.s.a. algebras, most ideals do not have b.a.p. We will compensate by assuming that the sequence splits.

## DEFINITION

$\mathfrak{A}$  is completely finite if  $\forall R = [a_1 \ \dots \ a_n]$  right invertible,  
 $\exists$  invertible  $A \in \mathfrak{M}_n(\mathfrak{A})$  with first row  $R$ .

## PROPOSITION

Completely finite algebras are stably finite.

## EXAMPLE

$C(S^5)$  is stably finite but not completely finite.

## THEOREM

If  $0 \rightarrow \mathfrak{J} \rightarrow \mathfrak{A} \rightarrow \mathfrak{A}/\mathfrak{J} \rightarrow 0$  splits and  $\mathfrak{A}/\mathfrak{J}$  is completely finite, then

$$\text{rtsr}(\mathfrak{A}) = \max\{\text{rtsr}(\mathfrak{J}), \text{rtsr}(\mathfrak{A}/\mathfrak{J})\}.$$

## DEFINITION

A nest  $\mathcal{N}$  is a complete chain of subspaces containing  $\{0\}, \mathcal{H}$ .  
 A nest algebra  $\mathcal{T}(\mathcal{N}) = \{T : TN \subset N, \forall N \in \mathcal{N}\}$ .

## EXAMPLES

①  $\mathcal{H} = \sum^{\oplus} \mathcal{H}_k, \dim \mathcal{H}_k = n_k, N_k = \sum_{i=1}^k \mathcal{H}_i.$

This is an atomic nest of order type  $\omega$ .

$\mathcal{T}(\mathcal{N})$  are block upper triangular.

②  $\mathcal{H} = L^2(0, 1), N_t = L^2(0, t)$  for  $0 \leq t \leq 1$ .

This is a continuous nest.

③ Take  $\mathcal{N}$  any nest.  $\mathcal{N}^{\perp} = \{N^{\perp} : N \in \mathcal{N}\}.$

$\mathcal{T}(\mathcal{N}^{\perp}) = \mathcal{T}(\mathcal{N})^*$  are lower triangular w.r.t.  $\mathcal{N}$ .

$\text{ltsr}(\mathcal{T}(\mathcal{N}^{\perp})) = \text{rtsr}(\mathcal{T}(\mathcal{N}))$  and  $\text{rtsr}(\mathcal{T}(\mathcal{N}^{\perp})) = \text{ltsr}(\mathcal{T}(\mathcal{N})).$

## PROPOSITION

If  $\mathcal{N}$  contains  $N_1 \not\cong N_2 \not\cong N_3 \not\cong \dots$ , then  $\text{rtsr}(\mathcal{T}(\mathcal{N})) = \infty$ .

**Proof.**

Take quotient onto  $\mathcal{T}(N_1 \ominus \bigcap N_k)$ .

Build two isometries with orthogonal ranges.

## COROLLARY

In the following cases (with separable  $\mathcal{H}$ ),  $\text{rtsr}(\mathcal{T}(\mathcal{N})) = \infty$ .

- ①  $\mathcal{N}$  is uncountable.
- ②  $\mathcal{N}$  is countable, but not of ordinal type.
- ③  $\mathcal{N}$  has an infinite dimensional atom.

Certain nest algebras provide counterexample to Rieffel's question:

### THEOREM (DLMR)

If  $\mathcal{N}$  has order type  $\omega$  and  $n_k \geq 4 \sum_{i < k} n_i$ , then

$$\text{rtsr}(\mathcal{T}(\mathcal{N})) = 2 \quad \text{and} \quad \text{ltsr}(\mathcal{T}(\mathcal{N})) = \infty.$$

**Sketch.** Let  $E_k = P_{N_k} - P_{N_{k-1}}$  be the atoms.  $\text{rank } E_k = n_k$ .  
 $P_{N_{k-1}}AE_k$  and  $P_{N_{k-1}}BE_k$  are supported on "half" of  $E_k$ .  
This provides room for the construction.

## PROPOSITION

*If  $\mathcal{N}$  is a nest of order type  $\omega$  with finite rank atoms, then  $\mathcal{T}(\mathcal{N})$  is completely finite.*

If  $\mathcal{M} \subset \mathcal{N}$ , then  $\mathcal{T}_0(\mathcal{M}) \triangleleft \mathcal{T}_0(\mathcal{N}) \triangleleft \mathcal{T}(\mathcal{N}) \subset \mathcal{T}(\mathcal{M})$ .

Moreover  $0 \rightarrow \mathcal{T}_0(\mathcal{M}) \rightarrow \mathcal{T}(\mathcal{N}) \rightarrow \mathcal{T}(\mathcal{N})/\mathcal{T}_0(\mathcal{M}) \rightarrow 0$  splits.

## DEFINITION

Say  $\mathcal{M} = \{N_{k_i} : i \geq 1\}$  is finite index in  $\mathcal{N} = \{N_k : k \geq 1\}$  if  $\sup k_{i+1} - k_i < \infty$ .

## COROLLARY

*If  $\mathcal{M}$  is finite index in  $\mathcal{N}$ , then  $\text{rtsr}(\mathcal{T}(\mathcal{M})) = \text{rtsr}(\mathcal{T}(\mathcal{N}))$ .*

## THEOREM

If for all  $q \geq 1$ ,  $\exists N_0 < N_1 < \dots < N_q$  in  $\mathcal{N}$  with

$$\dim(N_j \ominus N_{j-1}) \geq \dim(N_{j+1} \ominus N_j) \quad \text{for } 1 \leq j < q,$$

then  $\text{rtsr}(\mathcal{T}(\mathcal{N})) = \infty$ .

## DEFINITION

Let  $\beta(\mathcal{N})$  be the sup of all  $q$  for which the hypotheses above hold.

## THEOREM (DJ): TFAE

- 1  $\text{rtsr}(\mathcal{T}(\mathcal{N})) = 2$ .
- 2  $\text{rtsr}(\mathcal{T}(\mathcal{N})) < \infty$ .
- 3  $\beta(\mathcal{N}) < \infty$  and  $\mathcal{N}$  has no infinite rank atoms.

## COROLLARY (1)

If  $\mathcal{N}$  has ordinal type of  $\omega^2$  or larger, then  $\text{rtrs}(\mathcal{T}(\mathcal{N})) = \infty$ .

## COROLLARY (2)

Suppose  $\mathcal{N} = \{N_k : k \geq 1\}$  and  $n_k$  is monotone increasing. Let  $d_j = |\{k : 2^{j-1} < n_k \leq 2^j\}|$ . Then

- ① If  $\sup d_j = \infty$ , then  $\text{rtrs}(\mathcal{T}(\mathcal{N})) = \infty$ .
- ② If  $\sup d_j < \infty$ , then  $\text{rtrs}(\mathcal{T}(\mathcal{N})) = 2$ .

## COROLLARY (3)

If  $n_k$  is monotone and  $\liminf_{k \rightarrow \infty} n_k^{1/k} = 1$ , then  $\text{rtrs}(\mathcal{T}(\mathcal{N})) = \infty$ .

## DEFINITION

$\mathcal{N} = \{N_k, \mathcal{H} : k \geq 0\}$ ,  $\text{rank}(N_k \ominus N_{k-1}) = n_k < \infty$ .

$\mathcal{M} = \{N_{k_i}, \mathcal{H} : i \geq 0\} \subset \mathcal{N}$ .  $\mathcal{N}$  is *monotone relative to*  $\mathcal{M}$  if

$$n_{k_{i-1}+1} \leq n_{k_{i-1}+2} \leq \cdots \leq n_{k_i} \text{ for } i \geq 1.$$

$\mathcal{M}$  is the *minimal relatively monotone subnest* of  $\mathcal{N}$

if one also has  $n_{k_i} > n_{k_{i+1}}$  for  $i \geq 1$ .

## THEOREM

$\mathcal{N} = \{N_k, \mathcal{H} : k \geq 0\}$ ,  $\text{rank}(N_k \ominus N_{k-1}) = n_k < \infty$ .

$\mathcal{N} \supset \mathcal{N}_1 \supset \mathcal{N}_2 \supset \dots$  where  $\mathcal{N}_{i+1}$  is the minimal relatively monotone subnest of  $\mathcal{N}_i$ .

If  $\text{rtrs}(\mathcal{T}(\mathcal{N})) < \infty$ , then this sequence is finite.

If the sequence is finite, then  $\text{rtrs}(\mathcal{T}(\mathcal{N}))$  can be computed by using the monotone case and the split exact sequence theorem.