

# NORMALIZERS OF TENSOR PRODUCTS

(joint with Junsheng Fang, Stuart White,  
and Alan Wiggins)

# NORMALIZERS

$B \subseteq M$  finite vN algebras

$$\mathcal{N}(B) = \{u \in \mathcal{U}(M) : uBu^* = B\}$$

$$\mathcal{ON}(B) = \{u \in \mathcal{U}(M) : uBu^* \subseteq B\}$$

**Definition 1** (Dixmier). In the context of masas,  $B$  is singular if  $\mathcal{N}(B)'' = B$ .  $B$  is regular (or Cartan) if  $\mathcal{N}(B)'' = M$ .

PROBLEM: What can be said about  $\mathcal{N}(B)$  and  $\mathcal{N}(B)''$  for general subalgebras  $B$ ?

## MASAS

**Theorem 2** (Chifan).  $A_i \subseteq M_i$  are masas in  $II_1$  factors. Then

$$\mathcal{N}(A_1 \overline{\otimes} A_2)'' = \mathcal{N}(A_1)'' \overline{\otimes} \mathcal{N}(A_2)''.$$

**HOPE:** each  $u \in \mathcal{N}(A_1 \overline{\otimes} A_2)$  is  $w(u_1 \otimes u_2)$  with  $u_i \in \mathcal{N}(A_i)$ ,  $w \in \mathcal{U}(A_1 \overline{\otimes} A_2)$ .

**Theorem 3** (Popa). If  $A \subseteq M$  is a Cartan masa and  $p, q \in A$  are projections of equal trace, then  $upu^* = q$  for some  $u \in \mathcal{N}(A)$ .

In  $A \overline{\otimes} A \subseteq M \overline{\otimes} M$ , there is a unitary normalizer so that

$$u(p \otimes 1)u^* = 1 \otimes p$$

and  $u$  cannot have the form  $w(u_1 \otimes u_2)$ .

# IRREDUCIBLE SUBFACTORS

$$N \subseteq M, \quad N' \cap M = \mathbb{C}1$$

## Basic Construction

$M$  has trace  $\tau$ , inner product

$$\langle x, y \rangle = \tau(y^*x), \quad x, y \in M.$$

$L^2(M)$  is the completion.

$$J: L^2(M) \rightarrow L^2(M) \quad \text{is} \quad Jx = x^*, x \in M.$$

Isometric because  $\tau(xx^*) = \tau(x^*x)$

$e_N$  is the projection onto  $L^2(N) \subseteq L^2(M)$ .

$$\langle M, e_N \rangle = \{M \cup e_N\}'' , \quad \langle M, e_N \rangle' = JNJ.$$

Normal semifinite trace  $\text{Tr}$  on  $\langle M, e_N \rangle$

$$\text{Tr}(xe_Ny) = \tau(xy), \quad x, y \in M.$$

## PROPERTIES

$N \subseteq M$  are finite von Neumann algebras.

- (i)  $x \mapsto e_N x$  and  $x \mapsto x e_N$  are injective maps on  $M$ .
- (ii)  $x \mapsto e_N x$  is a  $*$ -isomorphism on  $N$ .
- (iii)  $e_N x e_N = \mathbb{E}_N(x) e_N$  for  $x \in M$ .
- (iv)  $e_N \langle M, e_N \rangle e_N = N e_N$ .
- (v)  $M \cap \{e_N\}' = N$ .
- (vi) For  $N_i \subseteq M_i$ ,  $i = 1, 2$ ,

$$\langle M_1 \overline{\otimes} M_2, e_{N_1 \overline{\otimes} N_2} \rangle = \langle M_1, e_{N_1} \rangle \overline{\otimes} \langle M_2, e_{N_2} \rangle,$$

$$e_{N_1 \overline{\otimes} N_2} = e_{N_1} \otimes e_{N_2},$$

and the trace on  $\langle M_1 \overline{\otimes} M_2, e_{N_1 \overline{\otimes} N_2} \rangle$  is  $Tr_1 \otimes Tr_2$ .

## GENERAL STRATEGY

- (1) View a problem about  $N \subseteq M$  as being in the larger algebra  $\langle M, e_N \rangle$ .
- (2) Do some calculations in  $\langle M, e_N \rangle$ .
- (3) Push everything back to  $N \subseteq M$ .

**Example 4.**  $e_N$  commutes with  $N$  (simple). If  $u \in \mathcal{ON}(N)$  (or  $\mathcal{N}(N)$ ) then  $u^*e_Nu$  commutes with  $N$ . For  $x \in N$ ,

$$\begin{aligned} u^*e_Nux &= u^*e_N \underbrace{uxu^*}_{\in N} u = u^*uxu^*e_Nu \\ &= xu^*e_Nu. \end{aligned}$$

Thus  $u^*e_Nu \in N' \cap \langle M, e_N \rangle$  and

$$\mathrm{Tr}(u^*e_Nu) = \mathrm{Tr}(e_N) = 1.$$

## MAIN TECHNICAL THEOREM

**Theorem 5.** *Let  $N \subseteq M$  be an irreducible inclusion of  $II_1$  factors. The non-zero projections  $f \in N' \cap \langle M, e_N \rangle$  which satisfy  $\text{Tr}(f) \leq 1$  are exactly those of the form*

$$u^* e_N u, \quad u \in \mathcal{ON}(N).$$

*Moreover,  $\text{Tr}(f) \geq 1$  for all non-zero projections  $f \in N' \cap \langle M, e_N \rangle$ , and all  $f$  with  $\text{Tr}(f) = 1$  are central.*

## TENSOR PRODUCTS

**Theorem 6.** *Suppose  $N_i \subseteq M_i$ ,  $i = 1, 2$ , irreducible.*

(i) *Each  $u \in \mathcal{ON}(N_1 \overline{\otimes} N_2)$  has the form  $w(u_1 \otimes u_2)$  for*

$$w \in \mathcal{U}(N_1 \overline{\otimes} N_2), \quad u_i \in \mathcal{ON}(N_i).$$

(ii) *Same result with  $\mathcal{N}(\cdot)$  replacing  $\mathcal{ON}(\cdot)$  throughout.*

**Corollary 7.**

$$\mathcal{ON}(N_1 \overline{\otimes} N_2)'' = \mathcal{ON}(N_1)'' \overline{\otimes} \mathcal{ON}(N_2)'',$$

$$\mathcal{N}(N_1 \overline{\otimes} N_2)'' = \mathcal{N}(N_1)'' \overline{\otimes} \mathcal{N}(N_2)''.$$

For singular masas, this is Sinclair–SWW.

For general masas, this is Chifan.

## REASON

$$N := N_1 \overline{\otimes} N_2 \subseteq M_1 \overline{\otimes} M_2 := M$$

$$\begin{aligned} u \in \mathcal{ON}(N) &\implies u^* e_N u \in Z(N' \cap \langle M, e_N \rangle) \\ &= Z(N'_1 \cap \langle M_1, e_{N_1} \rangle) \overline{\otimes} Z(N'_2 \cap \langle M_2, e_{N_2} \rangle) \\ &\implies u^* e_N u = u_1^* e_{N_1} u_1 \otimes u_2^* e_{N_2} u_2. \end{aligned}$$

This implies that  $u(u_1 \otimes u_2)^*$  commutes with  $e_N$  so is a unitary  $w \in N$ . Then

$$u = w(u_1 \otimes u_2)$$

## GROUP-SUBGROUP INCLUSIONS

$H \subseteq G$  countable discrete groups.  $L(H)' \cap L(G) = \mathbb{C}1$  precisely when each  $g \in G \setminus \{e\}$  has infinitely many  $H$ -conjugates.

$$\mathcal{N}_G(H) = \{g \in G : gHg^{-1} = H\}$$

$$\mathcal{ON}_G(H) = \{g \in G : gHg^{-1} \subseteq H\}.$$

These can be very different. We can have

$$\mathcal{N}_G(H) \text{ generates } L(H),$$

$$\mathcal{ON}_G(H) \text{ generates } L(G).$$

**Theorem 8.** (i) *Each  $u \in \mathcal{ON}(L(H))$  has the form*

*$u = wg$  where  $w$  is a unitary in  $L(H)$  and  $g \in \mathcal{ON}_G(H)$ .*

(ii) *Each  $u \in \mathcal{N}(L(H))$  has the form  $u = wg$  where  $w$  is a unitary in  $L(H)$  and  $g \in \mathcal{N}_G(H)$ .*

**Example 9.** Index the generators of  $\mathbb{F}_\infty$  by  $\mathbb{Z}$ . Define an automorphism  $\phi$  by

$$\phi(g_i) = g_{i+1}, \quad i \in \mathbb{Z}.$$

This gives an action of  $\mathbb{Z}$  on  $\mathbb{F}_\infty$ .

$$G = \mathbb{F}_\infty \rtimes \mathbb{Z},$$

$$H = \langle g_i : i \geq 0 \rangle.$$

$$\mathcal{N}_G(H) = H,$$

$\mathcal{ON}_G(H)$  generates  $G$ .

At the algebra level, we get

$$\mathcal{ON}(L(H))'' = L(G)$$

$$\mathcal{N}(L(H))'' = L(H)$$

$$\mathcal{N}(B_1 \overline{\otimes} B_2)'' \neq \mathcal{N}(B_1)'' \overline{\otimes} \mathcal{N}(B_2)''$$

**Example 10.**  $(M, \tau)$  is a  $II_1$  factor,  $p \in M$  is a projection with  $0 < \tau(p) < 1/2$ .

$$B = \left\{ \begin{pmatrix} x & 0 \\ 0 & y \end{pmatrix} : x \in pMp, y \in p^\perp Mp^\perp \right\}.$$

Then  $\mathcal{N}(B)'' = B$ . Consider  $B \overline{\otimes} B \subseteq M \overline{\otimes} M$ . Then

$$p \otimes p^\perp \perp p^\perp \otimes p, \quad \tau \otimes \tau(p \otimes p^\perp) = \tau \otimes \tau(p^\perp \otimes p)$$

so there is a self-adjoint unitary  $u \in M \overline{\otimes} M$  so that

$$u(p \otimes p^\perp)u^* = p^\perp \otimes p,$$

$$u(p \otimes p)u^* = p \otimes p,$$

$$u(p^\perp \otimes p^\perp)u^* = p^\perp \otimes p^\perp.$$

These projections lie in  $Z(B \overline{\otimes} B)$  so  $u \notin B \overline{\otimes} B$  and  $u \in \mathcal{N}(B \overline{\otimes} B)$ . Thus

$$\mathcal{N}(B)'' \overline{\otimes} \mathcal{N}(B)'' \subsetneq \mathcal{N}(B \overline{\otimes} B)''.$$

## GROUPOID NORMALIZERS

$B \subseteq M$  is an inclusion of finite von Neumann algebras.

A partial isometry  $v \in M$  is a groupoid normalizer of  $B$

if

(i)  $vBv^* \subseteq B$ ;

(ii)  $vv^*, v^*v \in B$ .

The set of groupoid normalizers is  $\mathcal{GN}(B)$ .

## SIMPLE EXAMPLE

$\mathbb{M}_2$  is the  $2 \times 2$  matrices,

$$\mathbb{D}_2 = \left\{ \begin{pmatrix} \alpha & 0 \\ 0 & \beta \end{pmatrix} : \alpha, \beta \in \mathbb{C} \right\}.$$

A typical groupoid normalizer is

$$v = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix}$$

where the product matrices are respectively a unitary in  $\mathcal{N}(\mathbb{D}_2)$  and a projection in  $\mathbb{D}_2$ , as in a general theorem of Dye for masas.

**Example 11.** As in the Example 10,

$$B = \left\{ \begin{pmatrix} x & 0 \\ 0 & y \end{pmatrix} : x \in pMp, y \in p^\perp Mp^\perp \right\}.$$

Partial isometries of the form

$$v = \begin{pmatrix} 0 & * \\ 0 & 0 \end{pmatrix}$$

normalize  $B$ , so  $\mathcal{GN}(B)'' = M$ . Similarly

$\mathcal{GN}(B \bar{\otimes} B)'' = M \bar{\otimes} M$  so

$$\mathcal{GN}(B \bar{\otimes} B)'' = \mathcal{GN}(B)'' \bar{\otimes} \mathcal{GN}(B)''.$$

NOTE:  $B' \cap M \subseteq B$  holds here.

**Example 12.** In  $\mathbb{M}_3$ , the  $3 \times 3$  matrices, let

$$B = \left\{ \begin{pmatrix} \alpha & 0 & 0 \\ 0 & \alpha & 0 \\ 0 & 0 & \beta \end{pmatrix} : \alpha, \beta \in \mathbb{C} \right\}.$$

$$B = \mathbb{C}I_2 \oplus \mathbb{C}I_1,$$

$$\mathcal{GN}(B)'' = \mathbb{M}_2 \oplus \mathbb{M}_1,$$

$$B \bar{\otimes} B = \mathbb{C}I_4 \oplus \mathbb{C}I_2 \oplus \mathbb{C}I_2 \oplus \mathbb{C}I_1,$$

$$\mathcal{GN}(B \bar{\otimes} B)'' = \mathbb{M}_4 \oplus \mathbb{M}_4 \oplus \mathbb{M}_1.$$

$$\dim \mathcal{GN}(B \bar{\otimes} B)'' = 33, \quad \dim \mathcal{GN}(B)'' \bar{\otimes} \mathcal{GN}(B)'' = 25$$

NOTE:  $B' \cap \mathbb{M}_3 = \mathbb{M}_2 \oplus \mathbb{M}_1 \not\subseteq B$ .

## MAIN THEOREM

**Theorem 13.** *Let  $B_i \subseteq M_i$ ,  $i = 1, 2$ , be containments of finite von Neumann algebras satisfying*

*$B'_i \cap M_i \subseteq B_i$ . Then*

$$\mathcal{GN}(B_1 \overline{\otimes} B_2)'' = \mathcal{GN}(B_1)'' \overline{\otimes} \mathcal{GN}(B_2)''.$$

*Moreover, each groupoid normalizer  $u \in M_1 \overline{\otimes} M_2$  is approximable in  $\|\cdot\|_2$ -norm by sums*

$$\sum_{j=1}^k b_j(v_{1,j} \otimes v_{2,j})$$

*where  $b_j \in B_1 \overline{\otimes} B_2$  and  $v_{i,j} \in \mathcal{GN}(B_i)$  for  $i = 1, 2$ .*

## TOOLS

**Theorem 14** (Chifan). *Let  $B$  be a masa in  $M$  and let  $P \in B' \cap \langle M, e_B \rangle$  be such that  $P \lesssim e_B$ . Then there exists a groupoid normalizer  $v$  of  $B$  such that  $v^*e_Bv \leq P$ .*

**Theorem 15.** *Let  $A$  be an abelian von Neumann algebra with a normal semifinite weight  $\Phi$ , and let  $B \subseteq M$  be finite von Neumann algebras satisfying  $B' \cap M \subseteq B$ . If  $P$  is a projection in  $A \overline{\otimes} (B' \cap \langle M, e_B \rangle)$  such that  $P \lesssim 1 \otimes e_B$  in  $A \overline{\otimes} \langle M, e_B \rangle$  and satisfies*

$$\Phi \otimes \text{Tr}(Pq) \leq (\Phi \otimes \tau)(q), \quad q \in A \overline{\otimes} B,$$

*then  $P = v^*(1 \otimes e_B)v$  for some  $v \in \mathcal{GN}_{A \overline{\otimes} M}(A \overline{\otimes} B)$ .*

**Lemma 16.** *Let  $B \subseteq M$  be a containment of finite von Neumann algebras and let  $v \in \mathcal{GN}(B)$ . Then  $v^*e_Bv$  is a projection in  $Z(B' \cap \langle M, e_B \rangle)v^*v$ .*

This connects to tensor products via

$$B' \cap \langle M, e_B \rangle = (B'_1 \cap \langle M_1, e_{B_1} \rangle) \overline{\otimes} (B'_2 \cap \langle M_2, e_{B_2} \rangle)$$

when  $B = B_1 \overline{\otimes} B_2$ ,  $M = M_1 \overline{\otimes} M_2$ .

## SAMPLE CALCULATION

$B_i \subseteq M_i$ ,  $M = M_1 \overline{\otimes} M_2$ ,  $B = B_1 \overline{\otimes} B_2$ .  $v \in \mathcal{GN}(B)$ ,

$$v^* e_B v \sim \sum v_j^* e_B v_j$$

where  $v_j = v_{1,j} \otimes v_{2,j}$ ,  $v_{i,j} \in \mathcal{GN}(B_i)$ .

Multiply on the left by  $e_B v$  to get

$$\begin{aligned} e_B v &\sim \sum e_B v v_j^* e_B v_j = \sum b_j e_B v_j \\ &= \sum e_B b_j v_j. \end{aligned}$$

Then

$$v \sim \sum b_j (v_{1,j} \otimes v_{2,j}).$$

$$Me_B M := \text{span}\{xe_B y : x, y \in M\} \subseteq \langle M, e_B \rangle.$$

The PULL DOWN map is  $\Psi: Me_B M \rightarrow M$ ,

$$\Psi(xe_B y) = xy, \quad x, y \in M.$$

Unbounded, but bounded as a map

$$\Psi: L^1(\langle M, e_B \rangle, Tr) \rightarrow L^1(M, \tau).$$

$\Psi$  is the pre-adjoint of the embedding  $M \hookrightarrow \langle M, e_B \rangle$ . so is a completely positive contraction.

Useful properties:

- (i) Let  $x \in L^1(\langle M, e_B \rangle)^+$ . If  $x$  and  $\Psi(x)$  are bounded then  $\Psi(x) \geq x$ .
- (ii) Let  $x \in L^1(\langle M, e_B \rangle)^+$ . If  $x$  is unbounded, then  $\Psi(x)$  is unbounded in  $L^1(M)$ .

## $\mathcal{GN}(B)''$ versus $\mathcal{N}(B)''$ , $\mathcal{ON}(B)''$

- (1)  $B$  is a masa in  $M$ , a  $II_1$  factor. A theorem of Dye says that each  $v \in \mathcal{GN}(B)$  has the form  $v = wp$  for some  $w \in \mathcal{N}(B)$  and some projection  $p \in B$ . Thus

$$\mathcal{GN}(B)'' = \mathcal{N}(B)''.$$

- (2) Let  $N \subseteq M$  be an irreducible inclusion of  $II_1$  factors. Each  $v \in \mathcal{GN}(B)$  with  $\tau(vv^*) \in \mathbb{Q}$  has the form  $v = wp$  for some  $w \in \mathcal{ON}(N)$  and some projection  $p \in N$ . Thus an approximation argument gives

$$\mathcal{GN}(N)'' = \mathcal{ON}(N)''.$$

## BIMODULES

Projections in  $N' \cap \langle M, e_N \rangle$  correspond to closed  $N$ -bimodules in  $L^2(M)$  by  $f \mapsto \text{Range } f$ . For  $L(H) \subseteq L(G)$ :

- (1) Each  $g \in G$  gives a double coset  $HgH$  and the closed span in  $\ell^2(G)$  is an  $L(H)$ -bimodule.
- (2) Each  $g \in G$  gives a left coset  $gH$  and the closed span in  $\ell^2(G)$  is a right  $L(H)$ -module. The range projection is  $ge_{L(H)}g^{-1}$ , trace 1.
- (3) If  $f \in L(H)' \cap \langle L(G), e_{L(H)} \rangle$  is a projection and  $\text{Tr}(f) < \infty$ , then  $\text{Range } f$  is a finite sum of double cosets and also a finite sum of left cosets. Such projections generate an abelian algebra whose projections all have integer trace.

## EXAMPLE (Izumi–Longo–Popa)

$$G = \mathbb{F}_3 = \langle a, b, c \rangle \quad H = \mathbb{F}_2 = \langle a, b \rangle$$

Each projection  $p \in W^*(c)$  generates an  $L(H)$ –bimodule  $X_p \subseteq \ell^2(G)$  and

$$X_{p_1} \subseteq X_{p_2} \iff p_1 \leq p_2.$$

Here  $L(H)' \cap \langle L(G), e_{L(H)} \rangle$  decomposes as

$$\mathbb{C}e_{L(H)} \oplus (\text{II}_\infty \text{ factor})$$

Tr is infinite on the second summand.