

Strong Singularity Constants for Subfactors of II_1 Factors

Alan Wiggins

Vanderbilt University

June 23, 2008

Notation

- M a II_1 factor with separable predual, τ the normal, faithful, tracial state on M .

Notation

- M a II_1 factor with separable predual, τ the normal, faithful, tracial state on M .
- B a unital von Neumann subalgebra of M , \mathbb{E}_B the unique normal τ -preserving conditional expectation from M onto B .

Notation

- M a II_1 factor with separable predual, τ the normal, faithful, tracial state on M .
- B a unital von Neumann subalgebra of M , \mathbb{E}_B the unique normal τ -preserving conditional expectation from M onto B .
- e_B is the orthogonal projection from $L^2(M)$ onto $L^2(B)$, implementing \mathbb{E}_B , called the Jones projection.

Singularity

Definition

(Dixmier) B is **singular** in M if every unitary $u \in M$ with $uBu^* = B$ must be in B .

Examples

- 1 $M = L(\mathbb{F}_n)$, B =generator or Laplacian masa.

Singularity

Definition

(Dixmier) B is **singular** in M if every unitary $u \in M$ with $uBu^* = B$ must be in B .

Examples

- 1 $M = L(\mathbb{F}_n)$, B =generator or Laplacian masa.
- 2 $M = R \rtimes S_n$ where R is the hyperfinite II_1 factor and S_n ($n \geq 3$) is the symmetric group on n elements, $B = R \rtimes S_{n-1}$ where S_{n-1} is considered as any subgroup that fixes a single element.

Singularity

Definition

(Dixmier) B is **singular** in M if every unitary $u \in M$ with $uBu^* = B$ must be in B .

Examples

- 1 $M = L(\mathbb{F}_n)$, B =generator or Laplacian masa.
- 2 $M = R \rtimes S_n$ where R is the hyperfinite II_1 factor and S_n ($n \geq 3$) is the symmetric group on n elements, $B = R \rtimes S_{n-1}$ where S_{n-1} is considered as any subgroup that fixes a single element.
- 3 Any subfactor of index strictly between 3 and 4.

Singularity

Definition

(Dixmier) B is **singular** in M if every unitary $u \in M$ with $uBu^* = B$ must be in B .

Examples

- ① $M = L(\mathbb{F}_n)$, B =generator or Laplacian masa.
- ② $M = R \rtimes S_n$ where R is the hyperfinite II_1 factor and S_n ($n \geq 3$) is the symmetric group on n elements, $B = R \rtimes S_{n-1}$ where S_{n-1} is considered as any subgroup that fixes a single element.
- ③ Any subfactor of index strictly between 3 and 4.
- ④ M any II_1 factor, p a projection with $\tau(p) \neq 1/2$,
 $B = pMp + p^\perp Mp^\perp$.

α -Strong Singularity

Definition

(Sinclair & Smith) B is α -strongly singular in M if there exists $0 < \alpha \leq 1$ such that for all unitaries $u \in M$,

$$\alpha \|u - \mathbb{E}_B(u)\|_2 \leq \|\mathbb{E}_B - \mathbb{E}_{uBu^*}\|_{\infty,2}$$

If $\alpha = 1$, say that B is **strongly singular** in M . If B is α -strongly singular for some α , must it always be the case that α can be improved to one?

The WAHP

Definition

(Robertson, Sinclair, & Smith) B has the **weak asymptotic homomorphism property** (WAHP) if for every $\varepsilon > 0$ and $x_1, \dots, x_n, y_1, \dots, y_n \in M$, there exists a unitary $u \in B$ such that

$$\|\mathbb{E}_B(x_i u y_j) - \mathbb{E}_B(x_i) u \mathbb{E}_B(y_j)\|_2 < \varepsilon$$

for all $1 \leq i \leq n, 1 \leq j \leq m$.

Answers for Masas

Theorem

(Sinclair, Smith, White, W) Every singular masa in M has the WAHP, and so is strongly singular.

Corollary

The tensor product of singular masas is again a singular masa.

Could the equivalence between WAHP and singularity hold in the subfactor case?

Subfactors

Definition

If $N \subseteq M$ is a unital inclusion of II_1 factors, N has finite Jones Index $[M : N]$ in M if the algebra $(M \cup e_N)'' := \langle M, e_N \rangle$ is finite. If not, N will be said to have infinite index.

The algebra $\langle M, e_N \rangle$ will be a factor and so is endowed with a unique normal, faithful, tracial state. If it is finite, define the index to be the reciprocal of the trace of e_N .

Theorem

(Jones) The index takes values in the set $\{4 \cos^2(\frac{\pi}{n}) : n \geq 3\} \cup [4, \infty)$.

Big open question: can every index value be attained by N a subfactor of the hyperfinite II_1 factor \mathcal{R} if in addition we assume that $N' \cap \mathcal{R} = \mathbb{C}$? All singular subfactors will have this property...

- Bad News: no proper finite index subfactor can have the WAHP.

- Bad News: no proper finite index subfactor can have the WAHP.
- counterexample uses a Pimsner-Popa basis for M over N .

- Bad News: no proper finite index subfactor can have the WAHP.
- counterexample uses a Pimsner-Popa basis for M over N .
- For a crossed product of a II_1 factor N by a finite group G , a basis for $M = N \rtimes G$ over N is given by the image of the group elements.

A Global Constant

Theorem

Every singular subfactor is $\frac{1}{13}$ -strongly singular.

Proof uses a result of Popa, Sinclair, and Smith and the fact that every groupoid normalizer of a subfactor is the product of a unitary normalizer times a projection.

Theorem

If $N \subseteq M$ is a finite-index singular subfactor and $N' \cap \langle M, e_N \rangle$ is 2-dimensional, then N is $\sqrt{\frac{[M:N]-2}{[M:N]-1}}$ -strongly singular. If the index is infinite and the same relative commutant hypothesis holds, then N is strongly singular.

The subfactor examples mentioned at the beginning of this talk all satisfy the relative commutant assumption, so there are "many" such objects.

An Interesting Example

From Grossman/Jones: quadrilateral of GHJ subfactors associated to D_5 at the trivalent vertex. The intermediate subfactors P' and Q' in dual quadrilateral are unitarily conjugate and have index $2 + \sqrt{2}$, so singular.

Observation of Grossman: the unitary is expectation zero onto either P' or Q' , but

$$\|\mathbb{E}_{P'} - \mathbb{E}_{Q'}\|_{\infty,2} \leq \|e_{P'} - e_{Q'}\|_2$$

and computations have (hopefully!) shown that the right-hand side is not one!

Some Questions

- 1 Is there a nontrivial finite index example for which the bound in the relative commutant theorem is sharp?

Some Questions

- 1 Is there a nontrivial finite index example for which the bound in the relative commutant theorem is sharp?
- 2 Can any nontrivial finite index inclusion have $\alpha = 1$?

Some Questions

- 1 Is there a nontrivial finite index example for which the bound in the relative commutant theorem is sharp?
- 2 Can any nontrivial finite index inclusion have $\alpha = 1$?
- 3 Can any infinite index inclusion have $\alpha \neq 1$?

Some Questions

- 1 Is there a nontrivial finite index example for which the bound in the relative commutant theorem is sharp?
- 2 Can any nontrivial finite index inclusion have $\alpha = 1$?
- 3 Can any infinite index inclusion have $\alpha \neq 1$?
- 4 Does every singular masa in a II_1 factor have the asymptotic homomorphism property?